

**Methods and Definitions for Perinatal and Maternal Mortality Review Committee Reporting |
Ngā Tikanga me ngā Kupu Whakamārama mō te Tuku Pūrongo a te Komiti Arotake Ira Tangata**

December 2022 | Hakihea 2022

# Contents | Ngā Kōrero

[Definitions | Kupu Whakamārama 3](#_Toc119407114)

[Mortality Definitions 3](#_Toc119407115)

[Other Definitions 6](#_Toc119407131)

[Numerator Data | Raraunga Taurunga 11](#_Toc119407143)

[Case Ascertainment and Data Collection 11](#_Toc119407144)

[Denominator Data | Raraunga Tauraro 15](#_Toc119407149)

[MAT 15](#_Toc119407150)

[Data Analysis 18](#_Toc119407153)

[List of Abbreviations | Rārangi Whakapotonga 21](#_Toc119407162)

[References | Tohutoro 22](#_Toc119407163)

Published in December 2022 by the Perinatal and Maternal Mortality Review Committee,
PO Box 25496, Wellington 6146, New Zealand

The document is a companion piece to: Perinatal and Maternal Mortality Review Committee. 2022. *Fifteenth Annual Report of the Perinatal and Maternal Mortality Review Committee | Te Pūrongo ā-Tau Tekau mā Rima o te Komiti Arotake Mate Pēpi, Mate Whaea Hoki: Reporting Mortality and Morbidity 2020* | Te Tuku Pūrongo mō te Mate me te Whakamate 2020. Wellington: Health Quality & Safety Commission.

It is available online at: [www.hqsc.govt.nz/our-programmes/mrc/pmmrc](http://www.hqsc.govt.nz/our-programmes/mrc/pmmrc)

# Definitions | Kupu Whakamārama

## Mortality Definitions

Perinatal and infant mortality

**Gestation Birth 7 days 28 days 1 year**

**20 weeks or more**

**or**

**≥400 grams birthweight**

**0–<7 days**

**7–27 days**

**28 days–<1 year**

**Late
Neonatal
deaths**

**Early
Neonatal
deaths**

**Post-neonatal
deaths**

**Fetal deaths**

**Perinatal deaths**

**Perinatal related deaths**

**Neonatal deaths**

**Infant deaths**

(Adapted from [New Zealand Health Information Service 2007](http://www.health.govt.nz/system/files/documents/publications/fetal200304.pdf) and [Ministry of Health 2010](http://www.health.govt.nz/system/files/documents/publications/fetal-and-infant-deaths-2006.pdf).)

Fetal death

Fetal death is the death of a fetus at 20 weeks gestation or beyond (≥20 weeks) or weighing at least 400 g if gestation is unknown. Fetal death includes stillbirth and termination of pregnancy. Where a termination of pregnancy died after birth, the pregnancy is included as a termination of pregnancy and therefore as a fetal death rather than as a neonatal death.

Stillbirth

Stillbirth is the birth of a fetus showing no signs of life at 20 weeks gestation or beyond (≥20 weeks) or weighing at least 400 g if gestation is unknown. Note that, in this report, the term ‘stillbirth’ does not include terminations.

Termination of pregnancy

Termination of pregnancy is the interruption of an ongoing pregnancy (whether the baby was stillborn or live born). This report only includes termination of pregnancy from 20 weeks gestation.

Fetal death rate

Fetal death rate is calculated as fetal deaths per 1000 babies born at 20 weeks gestation or beyond or weighing at least 400 g if gestation is unknown.

Neonatal death

Neonatal death is the death of any baby showing signs of life at 20 weeks gestation or beyond (for the purposes of this Perinatal and Maternal Mortality Review Committee [PMMRC] data set), or weighing at least 400 g if gestation is unknown, that occurs up until midnight of the 27th day of life. Early neonatal death is a death that occurs up until midnight of the sixth day of life. Late neonatal death is a death that occurs between the seventh day and midnight of the 27th day of life.

Neonatal death rate

The neonatal death rate is calculated as neonatal deaths per 1000 live born babies at 20 weeks gestation or beyond or weighing at least 400 g if gestation is unknown.

Perinatal mortality rate

In Aotearoa New Zealand, the perinatal mortality rate is calculated as fetal deaths and early neonatal deaths per 1000 total babies born alive or born dead at 20 weeks gestation or beyond or weighing at least 400 g if gestation is unknown. This is in line with the legal definition in Aotearoa New Zealand for stillbirth.[[1]](#footnote-2)

In some places, this report refers to a UK definition of perinatal mortality, which was developed for the surveillance of perinatal deaths in the UK and is based on the UK legal definition of stillbirths, which excludes deaths before 24 weeks gestation and terminations of pregnancy (Centre for Maternal and Child Enquiries [2011](http://www.publichealth.hscni.net/sites/default/files/Perinatal%20Mortality%202009.pdf)). The UK definition is different from the New Zealand legal definition but is applied to the set for comparison purposes in specific tables and figures (eg, Table 3.13). Instances where this is used is noted in headings.

Perinatal related mortality rate

The perinatal related mortality rate refers to fetal deaths and early and late neonatal deaths per 1000 total babies born at 20 weeks gestation or beyond or weighing at least 400 g if gestation is unknown.

International (World Health Organization) perinatal mortality rates

The World Health Organization (WHO) recommends the use of international perinatal mortality rates to facilitate international comparison (WHO [2006](http://apps.who.int/iris/bitstream/10665/43444/1/9241563206_eng.pdf)). These are rates of fetal death, neonatal death, perinatal mortality and perinatal related mortality of babies weighing ≥1000 g, or ≥28 weeks if birthweight is unknown, per 1000 total births of babies ≥1000 g, or ≥28 weeks if birthweight is unknown. Babies without birthweight or gestation should be included if they have been registered.

Lethal and terminated fetal anomalies

Lethal and terminated fetal anomalies are all perinatal related deaths classified by the Perinatal Society of Australia and New Zealand Perinatal Death Classification (PSANZ-PDC) system as PSANZ-PDC 1 (congenital anomaly) and neonatal deaths classified by the PSANZ Neonatal Death Classification (PSANZ-NDC) system as PSANZ-NDC 1
(congenital anomaly).

Intrapartum stillbirth rate

The intrapartum stillbirth rate calculates deaths of babies of at least 24 weeks gestation without congenital anomaly who entered labour alive but then died during labour, as a rate per 1000 births 24 weeks and beyond.

Maternal death

Maternal death is the death of a woman while pregnant or within 42 days of the end of pregnancy (miscarriage, termination or birth), irrespective of the duration and site of the pregnancy, from any cause related to or aggravated by the pregnancy or its management, but not from accidental or incidental causes ([WHO nd](http://www.who.int/healthinfo/statistics/indmaternalmortality/en/)).

The cause of maternal death is sub-classified into the following categories based on *The WHO Application of ICD-10 to Deaths during Pregnancy, Childbirth and Puerperium: ICD MM* ([WHO 2012](http://apps.who.int/iris/bitstream/10665/70929/1/9789241548458_eng.pdf?ua=1)).

* **Direct maternal deaths:** those resulting from obstetric complications of the pregnant state (pregnancy, labour or puerperium[[2]](#footnote-3)), from interventions, omissions, incorrect treatment or from a chain of events resulting from the above. In 2018, the PMMRC adopted the WHO revision to include deaths by suicide with direct maternal deaths. This was then applied retrospectively to data from previous years.
* **Indirect maternal deaths:** those resulting from previous existing disease or disease that developed during pregnancy and was not due to direct obstetric causes but that was aggravated by the physiological effects of pregnancy.
* **Unknown/undetermined (or unclassifiable) maternal death:** deaths during pregnancy, childbirth and the puerperium where the underlying cause is unknown or was not determined.
* **Coincidental maternal deaths:** deaths from unrelated causes that happen to occur in pregnancy or the puerperium. Coincidental maternal deaths are not included in maternal death analyses.

Maternal mortality ratio

Maternal mortality ratio is the number of maternal deaths per 100,000 maternities as per WHO recommendations (see maternities below).

The term ‘ratio’ is used to describe ‘incidence’ of maternal mortality because cases included in the numerator may arise from pregnancies that end before 20 weeks. As the total number of pregnancies ending before 20 weeks is unknown, the denominator cannot include all women at risk, and thus the estimate cannot truly be called a ‘rate’.

Maternities

Maternities are defined here as all live births and all fetal deaths at 20 weeks gestation or beyond or weighing 400 g or more if gestation was unknown. Pregnancies ending before 20 weeks are not included in this working definition because the absolute number of pregnancies ending before this time is unknown.

The variable definition of ‘maternities’ creates unnecessary confusion when making international comparisons. The WHO recommends 100,000 live births as the most available denominator in countries with limited vital statistics collection. In countries where fetal deaths are also collected, the WHO recommends the denominator be 100,000 live births plus fetal deaths of 20 weeks or greater gestation.

* The UK uses the number of pregnancies that result in a live birth at any gestation or a stillbirth at or after 24 completed weeks gestation (as only stillbirths at 24 or more weeks gestation are required to be notified by law) ([Lewis 2007](http://www.publichealth.hscni.net/sites/default/files/Saving%20Mothers%27%20Lives%202003-05%20.pdf)).
* Australia reports the number of women who gave birth to either a live or stillborn baby of 20 or more completed weeks gestation or weighing at least 400 g at birth (as required to be reported to the National Perinatal Data Collection) ([Sullivan et al 2008](http://www.aihw.gov.au/publication-detail/?id=6442468086)).

## Other Definitions

Contributory factors and potentially avoidable death

**Contributory factors** are defined as modifiable components of the health system and issues of quality of care that cover a broad spectrum of organisational and/or management factors, personnel factors and barriers to care factors.

Examples of contributory factors are:

* organisational and/or management factors (eg, delays in procedures or accessing results; lack of policies, protocols or guidelines; lack of maintenance of equipment)
* personnel factors (eg, failure to maintain competence)
* barriers to care (eg, lack of access to appropriate antenatal care, lack of translation options causing language barriers, distance from adequate facilities) that are considered to have contributed to the death.

**Potentially avoidable death** is when the absence of a contributory factor may have prevented the death. More details on the process of development of the tool to assess contributory factors and potentially avoidable death have been published ([Farquhar et al 2011](http://www.sciencedirect.com/science/article/pii/S0002937811009616)).

* **Perinatal related mortality**: An assessment of contributory factors and potentially avoidable perinatal related death is completed by a multidisciplinary team led by the PMMRC local coordinators following local review and submitted along with the PSANZ-PDC. From 2011, local coordinators were asked to indicate the main contributory factor(s) in identifying the death as potentially avoidable.
* **Maternal mortality**: An assessment of contributory factors and potentially avoidable maternal death is completed by the multidisciplinary Maternal Mortality Review Working Group at national review.

Customised birthweight centiles

Customised birthweight centiles adjust newborn weight for maternal weight, height, ethnicity and parity, as well as for infant sex and gestation at birth, using a bulk calculator available from the Gestation Network ([www.gestation.net](https://www.gestation.net/cc/about.htm)).

Customised birthweight centile calculation:

* **In the PMMRC numerator data sets** (perinatal and neonatal encephalopathy [NE]), customised centile is calculated using data from the PMMRC (ie, gestation at death [stillbirths] or birth in weeks and days, birthweight, baby sex, maternal ethnicity, height, weight and parity).
* **In the New Zealand National Maternity Collection (MAT) denominator data set**, customised centile is calculated using data from the MAT data set (gestation in weeks [rather than weeks and days], birthweight, baby sex, maternal height, weight, parity and ethnicity). Centiles are only calculated for babies whose mothers were under the care of midwifery, private obstetric and general practitioner (GP) lead maternity carers (LMCs) (because of missing data among women cared for by district health board (DHB)[[3]](#footnote-4) primary maternity care) and from 2008, as maternal height and weight data were missing for over 90 percent of mothers prior to 2008.
* **In linked mortalities and morbidities**, customised centile is calculated using data from the PMMRC data set (gestation at death [stillbirths] or birth in weeks [not weeks and days so it is consistent with the denominator], birthweight, baby sex and parity) and data from the MAT data set (maternal height, weight and ethnicity). These centiles are used for any analyses involving numerator and denominator data.
* If maternal height and weight are missing, these are interpolated using averages by maternal ethnicity.
* For fetal deaths, the gestation at the time of estimated death (not birth) is used to calculate the birthweight centile. If gestation at death is unknown or gestation at death is <20 weeks or is seven days or more prior to birth, then customised centile is not calculated.

Ethnicity

Mother and baby ethnicities for perinatal related deaths and maternal deaths were collected from two sources: from information supplied to the Births, Deaths and Marriages (BDM) Registrar (with priority given to information in the birth registration over information in the death registration) and from rapid reporting forms completed by LMCs (eg, in cases where the death had not been registered by the time of analysis), with information from BDM taking priority over data from rapid reporting forms. In both instances, ethnicity should be identified by the mother/parents. The ethnicity in the deaths data set (held by BDM) is not validated. Death registration forms are usually completed by either the parents or a funeral director and therefore are largely self-reported.

Mother and baby ethnicities in the MAT denominator data set are ‘derived from ethnic codes reported to NMDS (National Minimum Dataset) birth and postnatal events, LMC Labour and Birth claims and NHI (National Health Index) at time of delivery. These should also be self-reported. The three highest priority ethnic codes that reach a threshold proportion are stored in the Aggregated Pregnancy table’ ([National Health Board Business Unit 2011](https://www.health.govt.nz/system/files/documents/publications/mat-dict-v1-0.pdf)).

Ethnicity has been reported as prioritised ethnicity. This method is frequently used in health statistics in Aotearoa New Zealand. Multiple ethnicities can be identified for both mother and baby. The PMMRC follows the guidelines in *Health Information Standards Organisation (HISO) 10001:2017 Ethnicity Data Protocols* ([Ministry of Health 2017](https://www.health.govt.nz/publication/hiso-100012017-ethnicity-data-protocols)) for prioritising ethnicity. These protocols prioritise ethnicity into the following hierarchy: Māori; Pacific peoples; Indian; Other Asian; Middle Eastern, Latin American or African (MELAA); Other European; Other; and New Zealand European. Indian has been identified as a separate ethnicity from ‘Other Asian’ because Aotearoa New Zealand data suggest that pregnancies of Indian women are at higher risk than those of ‘Other Asian’ women. In the PMMRC reports, most analyses use these ethnic groups, but sometimes ethnic groups are aggregated.

Where multiple ethnic groups are recorded for an individual, the process prioritises minority ethnic groups that might otherwise be swamped by New Zealand European. In doing so, it does not allow individuals to identify a group with which they most feel affinity. It is a simple system that results in relatively few groups for analysis and, when used across different data sets, ensures a standardised process is used.

While StatsNZ does recommend total response ethnicity reporting rather than prioritisation, currently the Ministry of Health and other health reports in Aotearoa New Zealand largely utilise prioritised ethnicity, and this includes annual maternity reporting. The PMMRC continues to use the prioritised method for ease of comparisons to these sources. The implications for monitoring Māori health by changing total response reporting of ethnicity are discussed by Cormack & Robson (2010). While prioritisation may undercount some ethnic groups (particularly Pacific peoples), it does ensure Māori are always counted.

LMC

LMC is defined as the practitioner or caregiver who provides a woman and her baby with continuity of care throughout pregnancy, labour and birth, and the postnatal period as described in the Section 88 Primary Maternity Services Notice 2007, Subpart DA.

Maternity care in Aotearoa New Zealand

In Aotearoa New Zealand, maternity care is funded by the Ministry of Health. Maternity care was provided by 20 DHBs nationally and by LMCs, who received funding from the Ministry of Health. LMCs may be self-employed midwives, GPs, private obstetricians or hospital-based midwives and obstetricians. Their services are free for eligible women, except in the case of private obstetricians, who have the right to charge co-payments for their services.

LMCs are required to sign access agreements with any maternity facility where they intend to provide care. Women have the right to choose whom they engage as their LMC. However, professional colleges and the Ministry of Health provide guidelines about appropriate care for mothers with risk factors.

The *Guidelines for Consultation with Obstetric and Related Medical Services (Referral Guidelines)* provide information about referring pregnant women, transferring clinical responsibility and transferring care in emergencies ([Ministry of Health 2012](https://www.health.govt.nz/system/files/documents/publications/observation-mother-baby-immediate-postnatal-period-consensus-statements.pdf)).

New Zealand Index of Deprivation (NZDep)

The NZDep is an area-based measure of socioeconomic deprivation based on variables from the Census of Population and Dwellings ([Atkinson et al 2014](http://www.otago.ac.nz/wellington/otago069936.pdf); [Salmond et al 2007](http://www.otago.ac.nz/wellington/otago020337.pdf)). NZDep06, NZDep13 and NZDep18 are used in this report and are derived from the 2006, 2013 and 2018 censuses in Aotearoa New Zealand.

The score is assigned according to maternal place of residence and is presented as a decile or quintile. Increasing deciles of deprivation, from least deprived at decile 1 to most deprived at decile 10, are associated with higher mortality and rates of many diseases ([Atkinson et al 2014](http://www.otago.ac.nz/wellington/otago069936.pdf); [Salmond et al 2007](http://www.otago.ac.nz/wellington/otago020337.pdf)). Census area unit (CAU)-level data were used for the 11th–14th PMMRC reports (in previous reports, meshblock unit-level data were used to assign a deprivation score). For NZDep18, Statistical Area 1 (SA1s) were used (Atkinson et al 2019). Generally, data are presented as quintiles rather than deciles so that individual categories are large enough for analysis.

NZDep18 deciles were assigned to births and deaths from 2018 to the present; NZDep2013 deciles were assigned to births and deaths from 2013 to 2017 inclusive, and NZDep2006 was used for previous years.

PSANZ death classifications

**PSANZ-PDC** – the purpose of the PSANZ-PDC is to identify the single most important factor that led to the chain of events that resulted in the death.

**PSANZ-NDC** – the purpose of the PSANZ-NDC is in addition to the PSANZ-PDC to identify the single most important factor in the neonatal period that caused the death.

For this report, the 2018 PSANZ classifications were used. For babies who died from 2018 onwards, the death classification was coded in the 2018 version. Babies who died during the years 2007–2017 had been coded in the 2007 version. For these babies, the primary PDC and NDC category codes were mapped to the 2018 version. For NDC codes, the mapping was straight forward with one-to-one category mapping. PDC codes required a mix of direct category mapping, selected sub-category mapping and custom (individual) case mapping. One of the results of the change in death classification schema was that idiopathic hydrops (PDC 6.7 in the 2007 version) was moved to idiopathic hydrops fetalis (PDC 1.192 in the 2018 version). This change has increased the number of babies whose death classification is congenital anomaly.

Place of birth

Place of birth is defined for the data collection as:

* home: a home environment does not have to be the mother’s own home
* birthing unit: stand-alone birthing centre
* hospital level 1: a hospital with no neonatal or caesarean section facilities
* hospital level 2: a hospital that is unable to provide long-term ventilation for babies
* hospital level 3: a hospital with full neonatal intensive care including facilities for long-term ventilation
* other: for example, car, ambulance
* not registered: the woman has not registered at any facility.

Registration with an LMC

Registration with an LMC is the process by which a woman selects her LMC. This generally occurs at the time of the first antenatal visit with the LMC. Upon registration, the LMC assumes clinical responsibility for maternity care. Clinical responsibility for care may transfer from the LMC to another service or provider, for example, if a woman’s condition warrants transfer of clinical responsibility to a specialist.

Parity

Parity is described in this report by the terms ‘primiparous’ and ‘multiparous’. A primiparous woman is defined here as having her first baby/babies after 20 weeks gestation. In figures and tables, this is called ‘parity 0’. A multiparous woman has already had a baby after 20 weeks gestation, and parity is ≥1.

NE

NE is a clinically defined syndrome of disturbed neurological function within the first week after birth in an infant born from 35 weeks gestation, manifested by difficulty in initiating and maintaining respiration, depression of tone and reflexes, subnormal level of consciousness and often seizures (Nelson and Leviton 1991). The PMMRC data set of NE cases includes Sarnat stages 2 or 3 (equivalent to moderate and severe) only.

All reported cases of term infants with NE are included in this data set, whatever the cause. Therefore, the full cohort includes cases where NE is associated with hypoglycaemia, congenital anomaly of the central nervous system or infection.

From 2016, the Neonatal Encephalopathy Working Group widened the inclusion criteria for the NE cohort and included cases from 35 weeks gestation at birth in line with international definitions and practice of cooling from this gestation ([American College of Obstetricians and Gynecologists 2014](http://pediatrics.aappublications.org/content/133/5/e1482)). Reporting on 35- and 36-week gestations begins in the 15th annual report when five years of data became available. The appended data up to 2019 only includes data from 37-week gestations onwards.

# Numerator Data | Raraunga Taurunga

## Case Ascertainment and Data Collection

### Perinatal mortality

The perinatal deaths presented in PMMRC reports occurred between 1 January and 31 December of the stated year. The denominator contains all births that occurred between 1 January and 31 December of the stated year. There is therefore some inconsistency with denominator data, as some deaths of babies born in one year will occur in the next year. For fetal deaths, the date of birth is used in place of the date of death. For neonatal deaths, the actual date of death is used.

An expanded description of data collection methods is available in the first PMMRC report ([PMMRC 2007](https://www.hqsc.govt.nz/assets/PMMRC/Publications/First-PMMRC-report-2005-07.pdf)).

Individual PMMRC local coordinators within each DHB identify perinatal deaths and oversee the collection of the required data. These data are submitted to the New Zealand Mortality Review Data Group at the University of Otago. The coordinators are also responsible for initiating local clinical reviews of each case, including assigning PSANZ-PDCs for cause of death, determining contributory factors and potentially avoidable deaths and ensuring appropriate, timely follow-up with parents.

The data set of perinatal deaths is a compilation of data submitted by LMCs, local coordinators, the Ministry of Health and BDM. A website commissioned by the PMMRC and run by the University of Otago enables web-based data entry.

LMCs and/or local coordinators are required to complete rapid reporting forms within 48 hours of a perinatal death. One form contains information on the mother (eg, her past medical and obstetric history and details of the birth), and the other form contains information on the baby. The questions are reviewed and adjusted regularly to ensure the data collection remains relevant and robust.

After local review, a multidisciplinary team led by the local coordinator completes the PMMRC classification form. The classification system that has been adopted is the PSANZ system of classification of cause of perinatal death.[[4]](#footnote-5) This system includes both perinatal and neonatal classifications. The local coordinator also submits any post-mortem and histology reports with the classification form.

Figure 1 outlines the PMMRC process. A user guide describing the definitions and data elements used by the PMMRC is available online at: [www.hqsc.govt.nz/our-programmes/mrc/pmmrc/publications-and-resources/publication/1566](http://www.hqsc.govt.nz/our-programmes/mrc/pmmrc/publications-and-resources/publication/1566).

From 2017 (11th PMMRC report), the numerator data set of perinatal mortalities has been merged with the MAT denominator data set so that compatible data could be used for analyses where there was a potential issue of numerator–denominator bias. The development of this amended numerator data set is described below under ‘Compiling the MAT denominator and numerator data’.

### Maternal mortality

Since 2006, the PMMRC has asked that all clinicians aware of a maternal death notify either their PMMRC local coordinator or the PMMRC national coordinator.

Deaths are brought to the attention of the Maternal Mortality Review Working Group (MMRWG) in the main by PMMRC local coordinators and other clinicians within DHBs. Other sources include pathologists, Coronial Services and media reports. The Coroners Act 2006 requires that maternal deaths are notified to Coronial Services. Often, multiple notifications are received. At the end of each year, known deaths are cross-referenced with the Mortality Collection at the BDM registry to ensure the collection is complete.

The MMRWG has developed a data collection tool for maternal deaths. Following notification of a maternal death, the PMMRC national coordinator issues maternal death reporting forms to the appropriate local coordinator, who is then responsible for gathering the relevant clinical information from practitioners involved with the woman’s care.

All completed reporting forms, along with relevant clinical information and reports from DHBs, Coronial Services and any other relevant investigative processes, are reviewed by designated members of the MMRWG, who present a summary of each case to the working group. The MMRWG then discusses each case in detail, including assessing the presence of contributory factors and potential avoidability.

Figure 1: Flow of information in the PMMRC’s perinatal data collection process

Wide circulation to the public and other stakeholders

PMMRC reviews
all data

Annual PMMRC report is produced with recommendations

PMMRC national coordinator

Peer review and consultation with stakeholders

Health Quality &
Safety Commission

Local PMMRC coordinator provides additional information and completes classification form

DHB perinatal
mortality meeting

National mortality data entry website and storage database

Births, Deaths and Marriages; Ministry of Health; Coronial Services; Ministry of Transport; Ministry of Justice

**Perinatal death**

Liaison with and support for family

Clinician/LMC completes rapid reporting form

###

### NE

Cases of NE were initially identified with the assistance of the New Zealand Paediatric Surveillance Unit and the collection of data facilitated by paediatricians, LMCs and the national coordination service of the PMMRC, as described in detail in the fifth PMMRC report ([PMMRC 2011](https://www.hqsc.govt.nz/assets/PMMRC/Publications/Fifth-PMMRC-report-2009-Lkd.pdf)). Since 2012, cases have been notified by key clinicians in neonatal and special care units and the PMMRC local coordinators.

From 2018 (12th PMMRC report), the numerator data set of babies with NE was merged with the MAT denominator data set so that compatible data could be used for analyses where there was a potential issue of numerator–denominator bias. The development of this amended numerator data set is described below under ‘Compiling the MAT denominator and numerator data’. These methods have been replicated for the 15th report.

### Data validation

Data are regularly validated using a standard set of queries to eliminate duplicate records, complete missing mother or baby information, clarify DHB of residence (where this is inconsistent with the given residential address) and rectify other inconsistencies. The PMMRC national coordinator reviews all perinatal death classifications and discusses complicated cases with a PMMRC member with expertise in PSANZ classifications.

At the end of each year, PMMRC local coordinators and key clinicians in special care and neonatal units are contacted to ensure the NE data collection is complete.

Once a year, the Mortality Collection at the BDM registry is cross-referenced to ensure maternal mortality data collection is complete.

# Denominator Data | Raraunga Tauraro

Prior to the 11th report (published 2017), PMMRC reporting of perinatal and maternal mortality and morbidity used the New Zealand birth registrations data set (administered by BDM) as the denominator data set. In 2017 (11th report), the birth registration data set was replaced by the New Zealand MAT (administered by the Ministry of Health) for almost all analyses. In 2017 (11th report), perinatal deaths were merged with the MAT data set to establish a compatible numerator for analyses, and in 2018 this process was extended to a merge of NE cases with the MAT data set. This process is not possible for maternal mortalities and morbidities as not all potential cases are included in the denominator data set, which only includes births from 20 weeks of gestation.

## MAT

The MAT combines data collected by LMCs, which is required to enable claims for payment, with hospital discharge data.

Compiling the MAT denominator and numerator data

1. **Denominator MAT data (all babies born ≥20 weeks):** The MAT is a data set based on mothers. For reporting, the PMMRC requires a data set based on babies. To create a MAT denominator data set with the correct number of babies, the ‘delivery outcome’ field for each MAT mother was used as an indicator of the number of babies who should be in the denominator data set. For example, if the delivery outcome variable was ‘twin’, then two babies were expected. ‘Other multiple’ was assumed to indicate three or more babies. This method was modified (and overridden) in cases where a MAT mother was linked by NHI to a PMMRC data set mother, and the PMMRC data were then used to determine the number of expected babies. An ‘entry’ or ‘baby’ was added for each expected baby. If there was no MAT baby linked where a baby was expected, then a baby was created with no MAT baby data (but with mother data from the delivery or mother data set). If there were more babies in the MAT baby data set than expected, only the expected number of babies was kept in the data set (except if these were perinatal death babies who were always kept in the data set). If there were no ‘delivery outcome’ data for a mother, then one baby was assumed and this baby was created, again without MAT baby data but including mother data.
2. **Numerator (perinatal related deaths):** The PMMRC asked the Ministry of Health to help merge the PMMRC perinatal related deaths (and babies with NE) with their MAT mother and baby records so that the consistency of data in the PMMRC data collection and in the MAT data set could be examined.

The MAT consists of two data sets, one of mothers and another of babies. Stillborn babies are often not included in the MAT data set and so need to be matched to a mother record. The following steps were undertaken to compile the dataset:

* 1. Mothers of babies who died or had NE in the perinatal period (PMMRC data set) were matched to mothers in the MAT data set (delivery data set) by matching the mother’s NHI and the date of birth, allowing a 28-day window either side of the recorded date of birth.
	2. Perinatal mortality and NE babies (PMMRC data set) were matched to MAT babies (birth data set) by matching the baby’s NHI.
	3. Perinatal mortality and NE babies (PMMRC data set) were then matched to the mother MAT data set (delivery data set) using the matched PMMRC mother; that is, babies with no MAT birth (baby) data set record were matched to their mother using the mother’s NHI.

This process of matching resulted in a PMMRC to MAT match of 97 percent for the babies who died and 99 percent for NE babies.

1. **Denominator MAT data further cleaning**: Some field values in the MAT data were considered extreme, so they were ‘cleaned’ before being used to calculate other fields:
	1. gestation (at birth): overridden with perinatal or NE data, if available
	2. birthweight: if >7000 g, set to missing; overridden with perinatal or NE data, if available
	3. body mass index (BMI) at first LMC registration: if <10 or ≥100, set to missing
	4. mother height: if <130 cm or >190 cm, set to missing
	5. mother weight: if <35 kg or >200 kg, set to missing
	6. parity: overridden with perinatal or NE data, if available
	7. plurality (singleton, twin, multiple, unknown): overridden with perinatal or NE data, if available
	8. baby sex: overridden with perinatal or NE data, if available
	9. mother age at baby date of birth: calculated from mother date of birth and baby date of birth (or date of delivery, if birth data not available); if mother age was <12 years or >60 years, set to missing.
2. **Denominator MAT data further exclusions**: the MAT data set was further checked to make sure all cases were compatible with the numerator, using the ‘cleaned’ gestation and birthweight.
	1. The following cases were excluded:
		1. gestation <20 weeks and birthweight <400 g
		2. gestation <20 weeks and birthweight missing
		3. gestation missing and birthweight <400 g
		4. gestation >43 weeks and birthweight <400 g.
	2. The following cases were included:
		1. gestation <20 weeks and birthweight ≥400 g (the case was included, but gestation was set to missing)
		2. gestation missing and birthweight ≥400 g
		3. both gestation and birthweight were missing
		4. gestation >43 weeks and birthweight ≥400 g (the case was included, but gestation was set to missing)
		5. gestation >43 weeks and birthweight missing (the case was included, but gestation was set to missing).

In the appended data up to 2019, 93 cases were excluded, and 893 cases had gestation set to missing. In the 15th report, 100 cases were excluded and 944 had gestation set to missing. No cases with linked mortalities and morbidities (PMMRC data set) were eliminated.

Specific limitations to the use of the MAT data set

1. Deaths are included in the numerator data set based on their year of death (as previously), but births are included in the MAT denominator data set according to their year of birth. Some babies are born in one year and die in the next, creating a numerator–denominator mismatch. For the purposes of these analyses, deaths will remain in the year of their death (to be comparable to previous years).
2. More than 90 percent of the smoking and BMI data are missing from the MAT data set for 2007. Therefore, analyses using these variables only include data from 2008.
3. Not all registration data are provided to the MAT (specifically, BMI and smoking are missing for many mothers who receive primary maternity care from DHBs). For this reason, analyses involving these variables are limited to women under the care of community-based midwives, private obstetricians and GPs. In 2008, this was 79.9 percent of births in Aotearoa New Zealand; in 2016, it was 92.2 percent; and in 2019, it was 93 percent.
4. As the PMMRC deaths have been merged where possible with records in the MAT data set, data are now available from both the PMMRC data set and the MAT data set for the babies and mothers of babies who died in the perinatal period or were diagnosed with NE. It is therefore possible to examine the consistency of some of the collected data fields. Some variables have systematically different measurements in the PMMRC data set compared with the MAT data set; for example, BMI and smoking are systematically higher and more common in the PMMRC data set than in the MAT data set for the mothers of babies who died in the perinatal period. In addition, some variables do not match directly between the two sets. For example, smoking data are collected at time of death in the PMMRC data set but at time of registration with an LMC and at two weeks postpartum in the MAT data set.

MAT data have therefore been used for the numerator as well as the denominator for BMI and smoking to avoid numerator–denominator bias, and as a consequence, the analysis is limited to mothers and babies where there was a successful match.

For variables (eg, gestation, birthweight, plurality) where the MAT and PMMRC data are variably inconsistent but not systematically different, the PMMRC data are used for the numerator deaths data because we believe these have been checked for accuracy more thoroughly than the MAT data set and because it means all babies can be included in the analyses.

1. The variable for LMC is inaccurate for any LMC prior to 2008. From 2008, this variable provides a reasonable estimate of LMC for the groups midwife (self-employed or community), private obstetrician and GP. The LMC variable for DHB remains inaccurate, with some women under the care of DHB primary maternity services still noted as having ‘No LMC’. This arises because some DHBs do not provide complete primary maternity data to the MAT data set.
2. There is a systematic error in the data sent to the MAT data set by some DHBs when parity is ‘zero’ (nulliparity), such that these mothers are recorded in the MAT data set as ‘missing’ parity. For this reason, analyses of parity are limited to women under the care of midwifery, private obstetric and GP LMCs. As the variable for LMC is inaccurate prior to 2008, parity is only reported from 2008.
3. There are differences in the ethnicity defined for mothers and babies in the PMMRC data sets compared with the MAT data set, and this is an example of potential numerator–denominator bias. Ethnicity for numerators (perinatal and maternal mortality and NE) has been defined in most instances using the ethnicity data in the PMMRC data sets (primarily obtained from BDM registration) because BDM data are most similar to census data (ie, the ethnicity in the BDM data set is obtained directly from parents). Any exceptions are indicated as footnotes to tables and figures. Denominator ethnicity is that defined in the MAT data set.

Ethnicity in the MAT is ‘derived from ethnic codes reported to NMDS birth and postnatal events, LMC Labour and Birth claims and NHI at time of delivery. The three highest priority ethnic codes that reach a threshold proportion are stored in the Aggregated Pregnancy table’ (National Health Board Business Unit 2011).

Further analysis of the impact of differences in the collection and output of ethnicity data can be found in chapter 5 of the 11th PMMRC report (PMMRC 2017, p 138). Briefly, it appears that the MAT data set overestimates Māori ethnicity in comparison to BDM data, at least for live births. When BDM data are used for both deaths (numerator) and births (denominator), a higher perinatal related mortality rate is observed than when MAT data are used for either denominator alone or for both numerator and denominator. The 11th PMMRC report changed to using the MAT data set as the preferred denominator because the BDM denominator data set includes very few variables for analyses in maternity; in addition, it does not retain NHIs, so data are not easy to merge. Using the MAT denominator for PMMRC analyses enabled linking of the PMMRC data set of deaths with their birth data in the MAT data set and therefore provided a data set that could be used for more extensive analysis. In the 12th PMMRC report, NE data were also linked to the MAT data set of births. On several occasions, the PMMRC has recommended that the Ministry of Health retain the ethnicity data shared with it by BDM within the MAT data set so that an ethnicity variable that more closely resembles Census data (at least in definition) could be available for more accurate analysis of ethnicity associations within maternity (PMMRC 2015, 2017).

1. In the MAT data set, only CAU-based deprivation score is available as a measure of residence-based deprivation. This changed to SA2 from 2018. Previously, a meshblock-based deprivation score was used in PMMRC analyses. CAUs and SA2s are larger than meshblocks. CAU (prior to 2018) and SA2 (from 2018) based deprivation scores will be used for both numerator and denominator so that rates can still be presented.

## Data Analysis

### Percentages

Percentages have been displayed with one decimal place or without decimal places when the denominator is small. In some cases, due to rounding, the percentages do not add to exactly 100 percent.

### Confidence intervals (CIs)

Ninety-five percent CIs for perinatal mortality rates have been computed using the methods for vital statistics described by the Centers for Disease Control and Prevention (Heron 2011). The CI represents the degree of uncertainty around the point estimate of the rate for the particular period.

This uncertainty depends on the absolute number of deaths and the number of births from which the deaths arose. If the number of births is large, then the CI (ie, the level of uncertainty) is generally small; if the number of births is small, the CI is large. The CI represents the limits within which the ‘true’ rate is most likely to lie. This calculation is necessary when numbers are small because the point estimate of the rate calculated from the data given may by chance have taken a wide range of values. The CI describes this range.

It is possible to compare rates by looking at the CI. If the CI for one rate does not overlap the estimate of another rate, it is likely that the rates are different. This is equivalent to the rates being statistically significantly different at the p<0.05 level. If the CI does overlap the estimate, the rates may or may not be different.

### Rate ratio

Rate ratio is calculated as the ratio of the incidence rate for an exposed group and an unexposed or less exposed group. For example, the ratio of the maternal mortality rate associated with mothers living in deprivation quintile 5 areas (exposed group) versus those living in deprivation quintile 1 (less/unexposed group).

### Statistical testing

Where the text notes that there is a statistically significant difference or association, this indicates that a statistical test has been applied and that the p-value is less than (<) 0.05. Conversely, if a difference is said to be not statistically significant, then the p-value is equal to or greater than (≥) 0.05. If the words ‘statistically significant’ are not used to describe a difference or association, it can be assumed that a statistical test has not been applied.

Simple linear regression analysis has been used to investigate linear change across time. Autocorrelation and normality of the residuals was investigated for all models. From each model, the change across time is estimated along with the 95% CI. If the slope is positive, this indicates an increase in rate during that time period. Whereas if the slope is negative, this indicates a decrease over time.

Where applicable, chi-squared tests were used to investigate the association between categorical variables.

### Missing data

Cases that have missing data have still been included in the data tables. However, where missing data exceed 30 percent of all possible data points, the data have generally not been presented.

At the lower extremes of gestation and birthweight, for example less than 23 weeks gestation or less than 500 g birthweight, denominator numbers are small and almost all babies will not survive. If the denominator data set does not include all births for whatever reason, then it will appear that more babies died than were born. For this reason, perinatal related mortality rates at the lower extremes have not been reported.

### Multiple-year data

Figures (and tables) in the reports sometimes include combined data for the most recent five years (or for all years) that the PMMRC has collected data. This increases the numbers and so improves the confidence around the estimates given, while restricting to the most recent five years of data minimises the impact of changes over time on rates.

### National rates

In some figures presenting DHB data, a national rate is indicated. This is calculated as a total rate over the stated time period for all DHBs shown.

### Management of late notifications

Cases notified after the data set is closed are included in overall mortality rates in the initial tables of each section but not in subsequent tables.

# List of Abbreviations | Rārangi Whakapotonga

BDM Births, Deaths and Marriages

BMI Body mass index (kg/m2)

CI Confidence interval

DHB District health board

GP General practitioner

HISO Health Information Standards Organisation

LMC Lead maternity carer

MAT New Zealand National Maternity Collection

MELAA Middle Eastern, Latin American or African

MMRWG Maternal Mortality Review Working Group

NE Neonatal encephalopathy

NHI National Health Index

NMDS National Minimum Dataset

NZDep New Zealand Index of Deprivation

PMMRC Perinatal and Maternal Mortality Review Committee

PSANZ Perinatal Society of Australia and New Zealand

PSANZ-NDC PSANZ Neonatal Death Classification

PSANZ-PDC PSANZ Perinatal Death Classification

WHO World Health Organization

# References | Tohutoro

American College of Obstetricians and Gynecologists. 2014. Executive summary: Neonatal encephalopathy and neurologic outcome, second edition. *Obstetrics and Gynecology* 123: 896–901. URL: <http://pediatrics.aappublications.org/content/133/5/e1482> (accessed April 2018).

Atkinson J, Salmond C, Crampton P. 2014. *NZDep2013 Index of Deprivation.* Wellington: Department of Public Health, University of Otago. URL: <http://www.otago.ac.nz/wellington/otago069936.pdf> (accessed April 2018).

Atkinson J, Salmond C, Crampton P. 2019. *NZDep2018 index of deprivation: interim research report,* *December 2019*. Wellington: University of Otago. URL: <https://www.otago.ac.nz/wellington/otago730394.pdf> (accessed May 2022).

Centre for Maternal and Child Enquiries (CMACE). 2011. *Perinatal Mortality 2009: United Kingdom*. London: Centre for Maternal and Child Enquiries. URL: [http://www.publichealth.hscni.net/sites/default/files/Perinatal Mortality 2009.pdf](http://www.publichealth.hscni.net/sites/default/files/Perinatal%20Mortality%202009.pdf) (accessed April 2018).

Cormack D, Robson C. 2010. Classification and output of multiple ethnicities: issues for monitoring Māori health. Wellington: Te Rōpū Rangahau Hauora a Eru Pōmare.

Farquhar C, Sadler L, Masson V, et al. 2011. Beyond the numbers: classifying contributory factors and potentially avoidable maternal deaths in New Zealand, 2006–2009. *American Journal of Obstetrics & Gynecology* 205(4): 331.e1–8. URL: <http://www.sciencedirect.com/science/article/pii/S0002937811009616> (accessed April 2018).

Heron M. 2011. Deaths: Leading causes for 2007. *National Vital Statistics Reports* 59(8). URL: <http://www.cdc.gov/nchs/data/nvsr/nvsr59/nvsr59_08.pdf> (accessed April 2018).

Lewis G (ed). 2007. *Saving mothers’ lives: Reviewing maternal deaths to make motherhood safer – 2003–2005. The Seventh Report of the Confidential Enquiries into Maternal Deaths in the United Kingdom*. London: Confidential Enquiry into Maternal and Child Health. URL: [http://www.publichealth.hscni.net/sites/default/files/Saving%20Mothers'%20Lives%202003-05%20.pdf](http://www.publichealth.hscni.net/sites/default/files/Saving%20Mothers%27%20Lives%202003-05%20.pdf) (accessed April 2018).

Ministry of Health. 2010. *Fetal and infant deaths 2006*. Wellington: Ministry of Health. URL: <http://www.health.govt.nz/system/files/documents/publications/fetal-and-infant-deaths-2006.pdf> (accessed April 2018).

Ministry of Health. 2012. *Guidelines for consultation with obstetric and related medical services (referral guidelines).* Wellington: Ministry of Health. URL: <https://www.health.govt.nz/system/files/documents/publications/referral-glines-jan12.pdf> (accessed March 2018).

Ministry of Health. 2017. *HISO 10001:2017 Ethnicity Data Protocols*. Wellington: Ministry of Health. URL: <https://www.health.govt.nz/publication/hiso-100012017-ethnicity-data-protocols> (accessed April 2018).

National Health Board Business Unit. 2011. *National Maternity Collection Data Mart Data Dictionary.* Wellington: Ministry of Health. URL: <http://www.health.govt.nz/system/files/documents/publications/mat-dict-v1-0.pdf> (accessed April 2018).

Nelson KB, Leviton A. 1991. How much of neonatal encephalopathy is due to birth asphyxia? *American Journal of Diseases of Children* 145(11): 1325–1331.

New Zealand Health Information Service. 2007. *Fetal and infant deaths 2003 & 2004*. Wellington: Ministry of Health. URL: <http://www.health.govt.nz/system/files/documents/publications/fetal200304.pdf> (accessed November 2022).

PMMRC. 2007. *First report to the Minister of Health: June 2005 to June 2007*. Wellington: Health Quality & Safety Commission, Perinatal and Maternal Mortality Review Committee. URL:[https://www.moh.govt.nz/notebook/nbbooks.nsf/0/0482427869B6BD5ACC257391006D02C7/$file/Perinatal%20and%20Maternal%20Mortality%20First%20annual%20report%202005-07.pdf](https://www.moh.govt.nz/notebook/nbbooks.nsf/0/0482427869B6BD5ACC257391006D02C7/%24file/Perinatal%20and%20Maternal%20Mortality%20First%20annual%20report%202005-07.pdf) (accessed November 2022).

PMMRC. 2011. *Fifth annual report of the Perinatal and Maternal Mortality Review Committee: Reporting mortality 2009*. Wellington: Health Quality & Safety Commission, Perinatal and Maternal Mortality Review Committee. URL: <https://ourarchive.otago.ac.nz/bitstream/handle/10523/12432/Fifth%20Annual%20Report%20of%20the%20Perinatal%20and%20Maternal%20Mortality%20Review%20Committee> (accessed November 2022).

PMMRC. 2015. *Ninth annual report of the Perinatal and Maternal Mortality Review Committee: Reporting mortality 2013*. Wellington: Health Quality & Safety Commission, Perinatal and Maternal Mortality Review Committee. URL: https://www.hqsc.govt.nz/resources/resource-library/ninth-annual-report-of-the-perinatal-and-maternal-mortality-review-committee/ (accessed April 2018).

PMMRC. 2017. *Eleventh annual report of the Perinatal and Maternal Mortality Review Committee: Reporting mortality 2015*. Wellington: Health Quality & Safety Commission, Perinatal and Maternal Mortality Review Committee. URL: https://www.hqsc.govt.nz/resources/resource-library/eleventh-annual-report-of-the-perinatal-and-maternal-mortality-review-committee/ (accessed March 2018).

PMMRC. 2018. *Twelfth annual report of the Perinatal and Maternal Mortality Review Committee: Reporting mortality 2016.* Wellington: Health Quality & Safety Commission, Perinatal and Maternal Mortality Review Committee. URL: <https://www.hqsc.govt.nz/resources/resource-library/twelfth-annual-report-of-the-perinatal-and-maternal-mortality-review-committee/> (accessed November 2022)

Salmond C, Crampton P, Atkinson J. 2007. *NZDep2006 Index of Deprivation user’s manual*. Wellington: Department of Public Health, University of Otago. URL: [www.otago.ac.nz/wellington/otago020337.pdf](http://www.otago.ac.nz/wellington/otago020337.pdf) (accessed April 2018).

Sullivan EA, Hall B, King JF. 2008. *Maternal deaths in Australia 2003–2005.* Maternal deaths series no. 3. Cat. no. PER 42. Canberra: Australian Institute of Health and Welfare. URL: [www.aihw.gov.au/publication-detail/?id=6442468086](http://www.aihw.gov.au/publication-detail/?id=6442468086) (accessed April 2018).

WHO. (nd). *Maternal mortality ratio (per 100 000 live births)*. URL: <https://www.who.int/data/gho/indicator-metadata-registry/imr-details/26> (accessed November 2022).

WHO. 2006. *Neonatal and perinatal mortality: country, regional and global estimates*. Geneva: World Health Organization. URL: <http://apps.who.int/iris/bitstream/10665/43444/1/9241563206_eng.pdf> (accessed April 2018).

WHO. 2012. *The WHO Application of ICD-10 to deaths during pregnancy, childbirth and the puerperium: ICD-MM*. Geneva: World Health Organization. URL: <http://apps.who.int/iris/bitstream/10665/70929/1/9789241548458_eng.pdf?ua=1> (accessed April 2018).

1. Births, Deaths, Marriages, and Relationships Registration Act 1995 <https://www.legislation.govt.nz/act/public/1995/0016/latest/whole.html> [↑](#footnote-ref-2)
2. Puerperium is the period up to and including 42 days postpartum after end of pregnancy. [↑](#footnote-ref-3)
3. District health boards were disestablished as part of the health reforms in July 2022, but we refer to them in this document because they are relevant to the methods and definitions discussed. [↑](#footnote-ref-4)
4. In 2017, PSANZ revised these death classification systems to include new subcategories, which were subsequently implemented in Aotearoa New Zealand in 2018. A comparison of the PSANZ death classification systems can be found on the Stillbirth and Neonatal Death Alliance (PSANZ-SANDA) website. URL: <https://sanda.psanz.com.au/assets/Uploads/Appendix-U-Changes-in-this-version-of-the-classifications.pdf> (accessed 13 May 2020) [↑](#footnote-ref-5)